

Date: _____

ACCOUNT TYPE

☐ **I3** - Interest paid monthly on anniversary
 ☐ **I6** - Interest paid 12 monthly on anniversary
 ☐ **I9** - Interest paid on maturity

TERM DEPOSIT DETAILS

Total Amount: \$ _____

Term Length: _____ Maturity Date: _____ Interest Rate: % _____

☐ Add funds to Term Deposit at Maturity - previous deposit # _____

☐ Reinvest on Maturity (Not available on I3 or I6)

Interest

Instructions:

☐ Transfer to internal account → Acc No: _____

☐ Transfer to external account → BSB: _____ Acc No: _____

Account Name: _____

Principal

Instructions:

☐ Reinvest on Maturity

☐ Transfer to internal account → Acc No: _____

☐ Transfer to external account → BSB: _____ Acc No: _____

Account Name: _____

Term Deposit Account Number: _____

New Deposit Number: _____

CASH DEPOSIT

\$100	x	
\$50	x	
\$20	x	
\$10	x	
\$5	x	
Coin		
TOTAL CASH \$		
AMOUNT DEPOSITED		

INTERNAL TRANSFER

Account Name (block letters please) _____

Account No. & Type: _____

XREF No: _____

Amount Transferred: \$ _____ Transfer Date: _____

Note: Please ensure that all transfer details are correct. Customers should note that the account name does not form part of the payment instructions and that the name will be disregarded in making the payment and that Auswide Bank, a division of MyState Bank and the receiving party's financial institution may rely solely on the BSB and account number. Any error in these payment details may result in a loss of funds and the extent permitted by law. Auswide Bank, a division of MyState Bank is not liable for any loss arising from any error in instructions given by you or an authorised user. Customers may be liable for the loss if the funds are unable to be retrieved from a third party. Dishonour and rejection fees may apply. For further information refer to our disclosure documentation.

CLIENT 1

Title: _____ Given Name/s: _____ Surname: _____

Date of Birth: _____ Auswide Bank Client? ☐ No ☐ Yes Client Number: _____

Relationship to Account: ☐ Account Holder ☐ Authority to Access ☐ Authority to Operate

☐ Other: _____

Signatory: ☐ No ☐ Yes

Residential Address: _____ State: _____ Post Code: _____

Mailing Address: _____ State: _____ Post Code: _____

Email: _____ Phone No: _____

Occupation: _____ Country of Citizenship: _____

Tax Information

☐ I will provide my TFN now ☐ I have an exemption reason

☐ I will provide my TFN later ☐ I have previously provided my TFN

Are you an overseas citizen or resident for tax purposes? ☐ No ☐ Yes

If 'YES' please provide name of country: _____

If 'YES' please provide the Individual's Taxpayer Identification Number: _____

If TIN not supplied reason must be provided:

☐ TIN not issued by Residence jurisdiction ☐ TIN issued by jurisdiction but not obtained by the client.

☐ TIN issued by jurisdiction but not issued to client. ☐ Other: _____

Are you a Politically Exposed Person? ☐ Yes ☐ No

A Politically Exposed Person (PEP) is a person, or an immediate family member of a person, who holds a prominent public position of function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-money Laundering & Counter-Terrorism Financing Act 2006 (Cth).

From time to time Auswide Bank sends customers information on new services or ways to improve your finances.

If you do not wish to receive information about our products, services and other offers please contact us.

☐ I do not wish to receive marketing/promotional materials from Auswide Bank.

Continued over page

CLIENT 1 *continued*

The information below is sought pursuant to the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act). Auswide Bank, in respect to Know Your Customer (KYC) and Enhanced Customer Due Diligence (ECDD) requirements, has an obligation to understand how your new account will be used and the source of wealth, source of funds of the entity.

Please indicate the primary source of the entity's accumulated wealth (*refer to Glossary - Source of Funds/Wealth Descriptions p7*). You may choose more than one option if they are equally significant. In certain circumstances you may be required to provide documentary evidence.

Entity/Business Source of Wealth

- | | |
|---|---|
| <input type="checkbox"/> Employment income e.g. salary, bonus commission. | <input type="checkbox"/> Investment income (interest, dividends, rental profit) |
| <input type="checkbox"/> Family support or gift | <input type="checkbox"/> Liquidation or sale of assets |
| <input type="checkbox"/> Family support or gift (overseas transfer) | <input type="checkbox"/> Windfall (inheritance, redundancy, tax refund) |
| <input type="checkbox"/> Government benefits/grants/superfund payments | <input type="checkbox"/> Compensation/Insurance payment/divorce settlement |
| <input type="checkbox"/> Private grants/Owners' equity | <input type="checkbox"/> Other _____ |

Please indicate the primary source of the entity's funds (*refer to Glossary - Source of Funds/Wealth Descriptions p7*). You may choose more than one option if they are equally significant. In certain circumstances you may be required to provide documentary evidence.

Entity/Business Source of Funds

- | | |
|---|---|
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| <input type="checkbox"/> Government benefits/grants/superfund payments | <input type="checkbox"/> Compensation/Insurance payment/divorce settlement |
| <input type="checkbox"/> Private grants/Owners' equity | <input type="checkbox"/> Other _____ |

CLIENT 2

Title: _____ Given Name/s: _____ Surname: _____

Date of Birth: _____ Auswide Bank Client? ☐ No ☐ Yes Client Number: _____

Relationship to Account: ☐ Account Holder ☐ Authority to Access ☐ Authority to Operate
☐ Other: _____

Signatory: ☐ No ☐ Yes

Residential Address: _____ State: _____ Post Code: _____

Mailing Address: _____ State: _____ Post Code: _____

Email: _____ Phone No: _____

Occupation: _____ Country of Citizenship: _____

Continued over page

CLIENT 2 *continued*

Tax Information

- ☐ I will provide my TFN now ☐ I have an exemption reason
☐ I will provide my TFN later ☐ I have previously provided my TFN

Are you an overseas citizen or resident for tax purposes? ☐ No ☐ Yes

If 'YES' please provide name of country: _____

If 'YES' please provide the Individual's Taxpayer Identification Number: _____

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Please indicate the primary source of the entity's accumulated wealth (*refer to Glossary - Source of Funds/Wealth Descriptions p7*). You may choose more than one option if they are equally significant. In certain circumstances you may be required to provide documentary evidence.

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|---|---|
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| <input type="checkbox"/> Private grants/Owners' equity | <input type="checkbox"/> Other _____ |

Please indicate the primary source of the entity's funds (*refer to Glossary - Source of Funds/Wealth Descriptions p7*). You may choose more than one option if they are equally significant. In certain circumstances you may be required to provide documentary evidence.

Entity/Business Source of Funds

- | | |
|---|---|
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| <input type="checkbox"/> Family support or gift (overseas transfer) | <input type="checkbox"/> Windfall (inheritance, redundancy, tax refund) |
| <input type="checkbox"/> Government benefits/grants/superfund payments | <input type="checkbox"/> Compensation/Insurance payment/divorce settlement |
| <input type="checkbox"/> Private grants/Owners' equity | <input type="checkbox"/> Other _____ |

PRIVACY DECLARATION AND CONSENT – CUSTOMER ONBOARDING**Overview**

Auswide Bank as a division of MyState Bank Limited ('Auswide' 'MyState' 'we', 'us', 'our') collects information about you for the purposes you agree to in this Privacy Disclosure Statement and Consent.

When you sign this form, you agree we can, consistently with Australia's privacy laws, collect, use and exchange credit and personal information about you for those purposes.

The use of you within this Policy refers to any customer or employee of any entity owned or controlled by us and any person who applies for our products or services or for employment with us, whether or not they ultimately become a customer or employee.

Privacy Disclosure Statement and Consent

We are collecting credit and personal information (information) about you, as applicable:

- Financial services: This includes doing all things necessary to provide those financial services to you including providing quotations, information and general advice regarding these services, assessing and processing your applications for these services and managing these services including account management, recovering any monies that you owe and paying any interest earned;
- Other financial services (now and in the future);
- Increased standards of service through customer relationship management programs;
- Information about our products and services if we believe this information may be of interest to you or may help us develop and improve our financial services.

You have a right at any time to stop us from contacting you for this purpose by contacting us using the contact details contained within this Privacy Disclosure Statement and Consent.

You acknowledge that if you do not advise us in this regard, we will assume that you consent to the use of your information for this purpose. You understand that we will continue to send you information relating to or associated with the financial services you have selected such as statements and statement inserts or offers to upgrade these services; or other services stated in this Privacy Disclosure Statement and Consent.

We require the information we collect from you or your agent in order to:

- Comply with Australian laws requiring or authorising the collection of personal information including (a) the National Consumer Credit Protection Act; (b) the Anti-Money Laundering and Counter-Terrorism Financing Act; and (c) the Income Tax Assessment Act.
- Support funding mechanisms and commercial transactions (including risk assessment, due diligence, audit and portfolio analysis).
- Issue, maintain and manage any rewards, discounts or other benefits or other such programs associated with the financial service product you select.

Your rights

You have the right to ask us:

- To provide you with all the information we hold about you;
- To correct the information we hold if it is incorrect.

You can gain access to the information we hold about you by contacting our Privacy Officer on the contact details as follows. In some cases an administration fee may be charged to cover the cost of providing the information.

The Privacy Officer,

Email: auswide@auswidebank.com.au

or mycomplaint@mystate.com.au

Post: GPO Box 1274 Hobart Tasmania 7001

Our Privacy Policy is available on our website at www.auswidebank.com.au, or we will provide you with a copy if you ask us.

The Privacy Policy contains information about:

- How you may access the personal information we hold about you and seek the correction of such information;
- How you can make a complaint about a breach of the Privacy Act 1988, including the Australian Privacy Principles or any registered privacy code that binds us in respect of your information; and
- How we will deal with such a complaint.

Consequences of not providing information

You acknowledge that if we are unable to collect all or part of the information we require we may be unable to provide, or continue to provide, the financial services to you.

Overseas disclosures

If we send your information outside Australia we will take reasonable steps to ensure that it complies with Australian privacy laws including credit reporting laws or we will seek your consent to the disclosure.

Some of our third party service providers to whom we may disclose your information may store or disclose your information overseas. Our Privacy Policy on our website www.auswidebank.com.au contains links to the websites of relevant service providers to enable identification of the policies regarding disclosure or storage of information overseas and the relevant countries.

Disclosure and Consent

By signing this form you agree we may:

- Use your personal information including biometric information:
 - For the purposes disclosed in this Privacy Disclosure Statement and Consent; and
 - As the law authorises or requires;
- Obtain from, and disclose to, any third party, including your introducer, employer, insurers, legal and financial advisers, information about you that is reasonably necessary to assess, arrange, provide or administer the services we provide;
- Provide you, or the company of which you are a director, with offers or information of other goods or services we, or any of our associated entities, may be able to provide to you or the company, unless you tell us not to;
- Disclose your information to other organisations that provide us with services, such as contractors, agents, printers, mail houses, marketing consultants, mercantile agents, payment system operators, other financial institutions and credit providers, auditors, lawyers, parties for the purpose of fraud prevention, document custodians, ratings agencies, securitisers and computer systems consultants or providers, so they can perform those services for us; and
- Disclose your personal information to other entities of the MyState group or any other organisation that may wish to acquire, or has acquired, an interest in our business or any rights under your contract with us, or the contract with us of a company of which you are a director.

DECLARATION

By signing this declaration, I/we hereby agree to be bound by the terms and conditions contained in the 'Guide to Term Deposits' and 'Guide to Banking Services'. I/we understand that Auswide Bank recommends these Terms and Conditions, including any other information they may give me/us, is read carefully.

I understand I can contact Auswide Bank to unsubscribe from receiving communications electronically.

Client 1

Name: _____

Date Signed: _____

Signature:

_____ **Client 2**

Name: _____

Date Signed: _____

Signature:

_____ 